

Emergence and Evolution of the Novel

The Novel : Definitions and Distinctions

- Genre: Fiction and Narrative
- Style: Prose
- Length: Extended
- Purpose: Mimesis or Verisimilitude

"The Novel is a picture of real life and manners, and of the time in which it is written . The Romance, in lofty and elevated language, describes what never happened nor is likely to happen." Clara Reeve, The Progress of Romance, 1785

Verisimilitude

Refers to the illusion that the novel is a representation of real life. Verisimilitude results from :

- a correspondence between the world presented in the novel and the real world of the reader
- Recognizable settings and characters in real time what Hazlitt calls, " the close imitation of men and manners... the very texture of society as it really exists."
- The novel emerged when authors fused adventure and romance with verisimilitude and heroes that were not supermen but ordinary people, often, insignificant nobodies

Precursors to the Novel

Heroic Epics

Gilgamesh, Homer's Iliad and Odyssey, Virgil's Aeneid, Beowulf, The Song of Roland

Ancient Greek and Roman Romances and Novels

An Ephesian Tale and Chaereas and Callirhoe, Petronius's Satyricon, Apuleius's The Golden Ass

Oriental Tales

A Thousand and One Nights

Medieval European Romances: Arthurian tales culminating in Malory's Morte Darthur

Elizabethan Prose Fiction: Gascoigne's The Adventure of Master F. J, Greene's Pandosto: The Triumph of

Time, Nashe's The Unfortunate Traveller, Deloney's Jack of Newbury

Travel Adventures: Marco Polo, Ibn Batuta, More's Utopia, Swift's Gulliver's Travels, Voltaire's Candide

Novelle: Boccaccio's Decameron, Margurerite de Navarre's Heptameron

Moral Tales: Bunyan's Pilgrim's Progess, Johnson's Rasselas

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The First Novels

- Don Quixote (Spain, 1605-15) by Miguel de Cervantes
- The Princess of Cleves (France, 1678) by Madame de Lafayette
- Robinson Crusoe (England, 1719), Moll Flanders (1722) and A Journal of the Plague Year (1722) by Daniel DeFoe
- Pamela, or Virtue Rewarded (England, 1740-1742) by Samuel Richardson
- Joseph Andrews (England, 1742) and Tom Jones (1746) by Henry Fielding

Types of Novels

- Picaresque
- Epistolary
- Sentimental
- Gothic
- ✤ Historical
- Psychological
- Realistic/Naturalistic
- Regional Social Mystery Science Fiction Magical Realism

Don Quixote by Miguel de Cervantes (1547-1616)

- First European novel: part I 1605; part II 1615
- A psychological portrait of a mid-life crisis
- Satirizes medieval romances, incorporates pastoral, picaresque, social and religious commentary
- What is the nature of reality?

The Princess of Cleves Madame de Lafayette

- First European historical novel recreates life of 16th c. French nobility at the court of Henri II
- First roman d'analyse (novel of analysis), dissecting emotions and attitudes

The Rise of the English Novel

The Restoration of the monarchy (1660) in England after the Puritan Commonwealth (1649-1660) encouraged an outpouring of secular literature

Appearance of periodical literature: journals and newspapers

Literary Criticism

Character Sketches

Political Discussion

Philosophical Ideas

- Increased leisure time for middle class: Coffee House and Salon society
- Growing audience of literate women

England's First Professional Female Author: Aphra Behn

1640-1689

Novels

- Love Letters between a Nobleman and his sister (1683)
- The Fair Jilt (1688)
- Agnes de Castro (1688)
- Oroonoko (c.1688)

She also wrote many dramas

Daniel Defoe

- Master of plain prose and powerful narrative
- Journalistic style: highly realistic detail
- Travel adventure: Robinson Crusoe, 1719
- Contemporary chronicle: Journal of the Plague Year , 1722
- Picaresques : Moll Flanders, 1722 and Roxana





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Picaresque Novels

- The name comes from the Spanish word picaro: a rogue
- A usually autobiographical chronicle of a rascal's travels and adventures as s/he makes his/her way through the world more by wits than industry
- Episodic, loose structure
- Highly realistic: detailed description and uninhibited expression
- Satire of social classes
- Contemporary picaresques: Jack Kerouac's On the Road

Epistolary Novels

- Novels in which the narrative is told in letters by one or more of the characters
- Allows the author to present the feelings and reactions of the characters, and to bring immediacy to the plot, also allows multiple points of view
- Psychological realism
- Contemporary epistolary novels: Alice Walker's The Color Purple;

The Novel: A Definition

According to M.H. Abrams:

"The term novel is now applied to a great variety of writings that have in common only the attribute of being extended works of fiction written in prose. [...] Its magnitude permits a greater variety of characters, greater complication of plot (or plots), ampler development of milieu, and more sustained exploration of character and motives than do the shorter, more concentrated modes."

The emergence of the novel

The emergence of the novel was made possible by many factors.

The most important are:

- 1. The development of the printing press: which enables mass production of reading material.
- 2. The emergence of a middle class ("middle station") with the leisure to read.

Emergence and Evolution of the Novel

Pioneers of the English Novel



Samuel Richardson 1689-1761

Pamela (1740) and *Clarissa* (1747-48)

- Epistolary
- ✤ Sentimental
- Morality tale: Servant resisting seduction by her employer



Henry Fielding 1707-1754

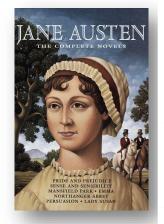
Shamela (1741) Joseph Andrews (1742), and Tom Jones (1749) Picaresque protagonists

- "comic epic in prose"
- Deve dev of Disher deve
- Parody of Richardson

The Novel of Manners:

Jane Austen

- Novels dominated by the customs, manners, conventional behavior and habits of a particular social class
- Often concerned with courtship and marriage
- Realistic and sometimes satiric
- Focus on domestic society rather than the larger world
- Other novelists of manners: Anthony Trollope, Edith Wharton,
 F. Scott Fitzgerald, Margaret Drabble



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Gothic Novels

- Novels characterized by magic, mystery and horror
- Exotic settings medieval, Oriental, etc.
- Originated with Horace Walpole's Castle of Otranto (1764) William Beckford: Vathek, An Arabian Tale (1786)
- Anne Radcliffe: 5 novels (1789-97) including The Mysteries of Udolpho
- Widely popular genre throughout Europe and America: Charles Brockden Brown's Wieland (1798)
- Contemporary Gothic novelists include Anne Rice and Stephen King

Frankenstein by Mary Shelley 1797-1851

- One of the most famous gothic novels
- Inspired by a dream in reaction to a challenge to write a ghost story
- Published in 1817 (rev. ed. 1831)
- Influenced by the Greek myth of Prometheus
- Frankenstein is also considered the first science fiction novel

Novels of Sentiment

- Novels in which the characters, and thus the readers, have a heightened emotional response to events
- Connected to emerging Romantic movement
- Laurence Sterne: Tristam Shandy (1760-67)
- Johann Wolfgang von Goethe: The Sorrows of Young Werther (1774)
- Francois Rene de Chateaubriand: Atala (1801) and Rene (1802)
- The Brontës: Anne Brontë Agnes Grey (1847) Emily Brontë, Wuthering Heights (1847), Charlotte Brontë, Jane Eyre (1847)

The Brontës

Charlotte (1816-55), Emily (1818-48), Anne (1820-49)

- Wuthering Heights and Jane Eyre transcend sentiment into myth-making
- Wuthering Heights plumbs the psychic unconscious in a search for wholeness, while Jane Eyre narrates the female quest for individuation



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Historical Novels

- Novels that reconstruct a past age, often when two cultures are in conflict
- Fictional characters interact with with historical figures in actual events
- Sir Walter Scott (1771-1832) is considered the father of the historical novel: The Waverly Novels (1814-1819) and Ivanhoe (1819)

Realism and Naturalism

- Middle class
- Pragmatic
- Psychological
- Mimetic art
- Objective, but ethical
- Sometimes comic or satiric
- How can the individual live within and influence society?
- Honore Balzac, Gustave Flaubert, George Eliot, William Dean Howells, Mark Twain, Leo Tolstoy, George Sand

- Middle/Lower class
- Scientific
- Sociological
- Investigative art
- Objective and amoral
- Often pessimistic, sometimes comic
- How does society/the environment impact individuals?
- Emile Zola, Fyodor Dostoevsky, Thomas Hardy, Stephen Crane, Theodore Dreiser

Social Realism

- Social or Sociological novels deal with the nature, function and effect of the society which the characters inhabit often for the purpose of effecting reform
- Social issues came to the forefront with the condition of laborers in the Industrial Revolution and later in the Depression: Dickens' Hard Times, Gaskell's Mary Barton; Eliot's Middlemarch; Steinbeck's Grapes of Wrath
- Slavery and race issues arose in American social novels: Stowe's Uncle Tom's Cabin, 20th c. novels by Wright, Ellison, etc.

Social Realism Cont.

- Muckrakers exposed corruption in industry and society: Sinclair's The Jungle, Steinbeck's Cannery Row
- Propaganda novels advocate a doctrinaire solution to social problems: Godwin's Things as They Are, Rand's Atlas Shrugged

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Charles Dickens 1812-1870

- By including varieties of poor people in all his novels, Dickens brought the problems of poverty to the attention of his readers:
- "It is scarcely conceivable that anyone should…exert a stronger social influence than Mr. Dickens has…. His sympathies are on the side of the suffering and the frail; and this makes him the idol of those who suffer, from whatever cause."
- Harriet Martineau, The London Times called him "pre-eminently a writer of the people and for the people . . . the 'Great Commoner' of English fiction."

Charles Dickens Cont. 1812-1870

Dickens aimed at arousing the conscience of his age. To his success in doing so, a Nonconformist preacher paid the following tribute:

"There have been at work among us three great social agencies: the London City Mission; the novels of Mr. Dickens; the cholera."

The Russian Novel

- Russia from 1850-1920 was a period of social, political, and existential struggle.
- Writers and thinkers remained divided: some tried to incite revolution, while others romanticized the past as a time of harmonious order.
- The novel in Russia embodied these struggles and conflicts in some of the greatest books ever written.
- The characters in the works search for meaning in an uncertain world, while the novelists who created them experiment with modes of artistic expression to represent the troubled spirit of their age.

The Russian Novel Cont.

- Leo Tolstoy (1828-1910): The Cossacks Anna Karenina
 - War and Peace Resurrection
- Fyodor Dostoevsky (1821-1881) The Gambler Crime and Punishment Notes from Underground The Brothers Karamazoy

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Social and Historical Background

Popular Taste

- When the novel appeared in the 18th century, it was not considered a literary genre.
- Daniel Defoe was a literary merchant and he took advantage of an emerging market and an emerging reading public
- Defoe was more concerned with pleasing the tastes of the public (the average reader). He was not concerned with pleasing the tastes of the critics.
- He referred to his audience as "honest meaning ignorant persons."

Language and Popular Taste

- Defoe did not write his first novel, Robinson Crusoe, until he was 59. Until then, he was a journalist and a political pamphleteer, and his style was influenced by journalism.
- Other factors that influenced language at the time:
- The desire to keep language close to the speech of artisans and merchants because they were the new economic and financial agents of England.

Socio-Historical Background

- Worldwide travels, the establishment of colonies in the Americas, the international slave trade, industrialization
- Europe, especially England, is now in control of international trade routes and owns the bulk of the international trade.
- The new economic realities produce a middle class in England, people who used to be serfs working the lands of aristocrats can now be entrepreneurs, slave traders, adventurers, colonists in America. Their children can now be educated.
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- The new markets also demand a new type of worker: skilled and literate. The establishment of grammar schools..

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The Development of Prose Fiction

- In the 17th and 18th centuries, prose was still not recognized as a literary form. Only Greek and Latin and English verse were considered "high culture." English prose was what lower or middle class people read and wrote.
- The economic wealth created in the 18th century a middle class that has a good income and leisure time. They cannot read Greek or Latin and formal literature, but they can read simple stories in prose.
- The first novels were published as serial stories in newspapers. Travel stories published in episodes telling the English public of adventures in far away lands.
- The establishment of colonies, worldwide travel and international trade made people in England curious about the new lands they were traveling to. This is how stories began to be published in newspapers in prose about travel adventures in exotic and far away lands.
- These stories were a success and people began to buy and read them.
- The popularity of these travel stories made publishers realize that there was a market and this is how novels in book format began to be published.

The Impact of Printing on Literature

- Printing affected the way literature produced and the way it circulated.
- Literature was no more a public act, a performance where a poet delivers his poetry directly to the public or a play performed in front of an audience. Literature is now a book that is read by a reader in the comfort of his/her home.
- Still, bookshops, coffeehouses, salons and reading rooms provided new gathering places where people discussed literature.

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Early Novels and Novelists – Robinson Crusoe 1

Daniel Defoe

- Born in 1660 in London
- His mother and father, James and Mary Foe, were Presbyterian dissenters. James Foe was a middleclass wax and candle merchant.
- He witnessed two of the greatest disasters of the seventeenth century: a recurrence of the plague and the Great Fire of London in 1666.
- He was an excellent student, but as a Presbyterian, he was forbidden to attend Oxford or Cambridge.
 He entered a dissenting institution called Morton's Academy
- Defoe developed a taste for travel that lasted throughout his life
- His fiction reflects this interest; his characters Moll Flanders and
- Robinson Crusoe both change their lives by voyaging far from their
- native England.
- He became a successful merchant and married into a rich family,
- but his business failed later on and he had money troubles for the
- rest of his life.
- He worked as a merchant, a poet, a journalist, a politician and even as a spy, and wrote around 500 books and pamphlets.

Defoe's Writing

- Defoe published his first novel, Robinson Crusoe, in 1719, when he was around 60 years old.
- The novel attracted a large middle-class readership. He followed in 1722 with Moll Flanders, the story of a tough, streetwise heroine whose fortunes rise and fall dramatically.
- Both works straddle the border between journalism and fiction.

Robinson Crusoe

- Robinson Crusoe was based on the true story of a shipwrecked seaman named Alexander Selkirk and was passed off as history
- Focus on the actual conditions of everyday life and avoidance of the courtly and the heroic made Defoe a revolutionary in English literature and helped define the new genre of the novel.
- Stylistically, Defoe was a great innovator. Dispensing with the ornate style associated with the upper classes, Defoe used the simple, direct, fact-based style of the middle classes, which became the new standard for the English novel.
- With Robinson Crusoe's theme of solitary human existence, Defoe paved the way for the central modern theme of alienation and isolation.
- Defoe died in London on April 24, 1731, of a fatal "lethargy"—an unclear diagnosis that may refer to a stroke.

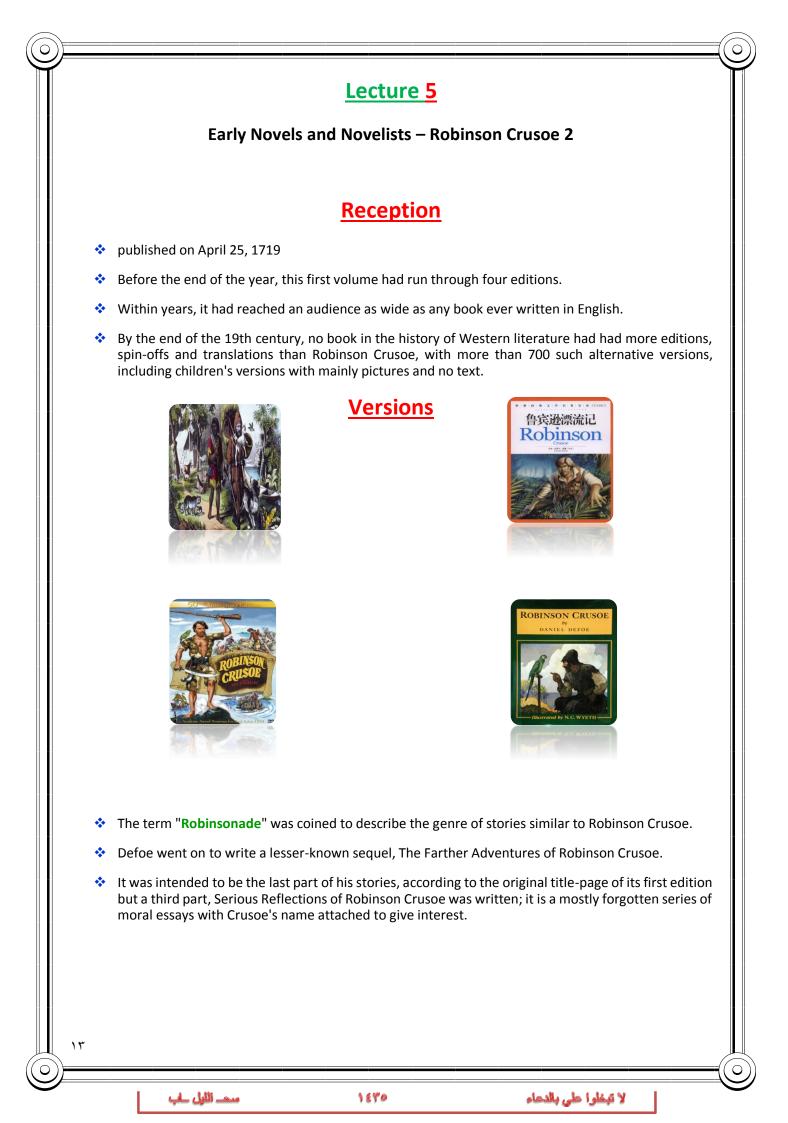
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Plot Summary

- Crusoe sets on a sea voyage in August 1651, against the wishes of his parents, who want him to stay at home and pursue a career, possibly in law.
- After a tumultuous journey that sees his ship wrecked in a storm, his lust for the sea remains so strong that he sets out to sea again. This journey too ends in disaster and Crusoe becomes the slave of a Moor (Muslims in Northwest Africa)
- After two years of slavery, he manages to escape and is rescued and befriended by the Captain of a Portuguese ship off the west coast of Africa. The ship is en route to Brazil. There, with the help of the captain, Crusoe becomes owner of a plantation.
- Years later, he joins an expedition to bring slaves from Africa, but he is shipwrecked in a storm about forty miles out to sea on an island (which he calls the Island of Despair) on September 30, 1659.
- His companions all die, save himself, and three animals who survived the shipwreck, the captain's dog and two cats. Having overcome his despair, he fetches arms, tools and other supplies from the ship before it breaks apart and sinks. He proceeds to build a fenced-in habitation near a cave which he excavates himself.
- He keeps a calendar by making marks in a wooden cross which he has built. He hunts, grows corn and rice, dries grapes to make raisins for the winter months, learns to make pottery and raises goats, all using tools created from stone and wood which he harvests on the island. He also adopts a small parrot. He reads the Bible and becomes religious, thanking God for his fate in which nothing is missing but human society.
- Years later, he discovers native cannibals who occasionally visit the island to kill and eat prisoners. At first he plans to kill them but later realizes that he has no right to do so as the cannibals do not knowingly commit a crime. He dreams of obtaining one or two servants by freeing some prisoners; when a prisoner manages to escape, Crusoe helps him, naming his new companion "Friday" after the day of the week he appeared. Crusoe then teaches him English and converts him ro Christianity.
- After another party of natives arrives to partake in a cannibal feast, Crusoe and Friday manage to kill most of the natives and save two of the prisoners. One is Friday's father and the other is a Spaniard, who informs Crusoe that there are other Spaniards shipwrecked on the mainland. A plan is devised wherein the Spaniard would return with Friday's father to the mainland and bring back the others, build a ship and sail to a Spanish port.
- Before the Spaniards return, an English ship appears; mutineers have taken control of the ship and intend to maroon their former captain on the island. Crusoe and the ship's captain strike a deal in which he helps the captain and the loyal sailors retake the ship from the mutineers, whereupon they intend to leave the worst of the mutineers on the island. Before they leave for England, Crusoe shows the former mutineers how he lived on the island and states that there will be more men coming.
- Crusoe leaves the island December 19, 1686 and arrives in England on June 11, 1687. He learns that his family believed him dead and there was nothing in his father's will for him.
- Crusoe departs for Lisbon to reclaim the profits of his estate in Brazil, which has granted him a large amount of wealth. In conclusion, he takes his wealth overland to England to avoid traveling at sea. Friday comes with him and along the way they endure one last adventure together as they fight off hundreds of famished wolves while crossing the Pyrenees.

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Themes: colonialism

- Robinson Crusoe is the true symbol of the British conquest: The whole Anglo-Saxon spirit is in Crusoe.
- Crusoe attempts to replicate his own society on the island: application of European technology, agriculture, and even a rudimentary political hierarchy.
- The idealized master-servant relationship between Crusoe and Friday.
- Crusoe represents the "enlightened European." Friday is the "savage" who can only be redeemed from his supposedly barbarous way of life through the assimilation into Crusoe's culture.
- Nevertheless, within the novel Defoe also takes the opportunity to criticize the historic Spanish conquest of South America.

Themes: Religion

- Robinson is not a hero, but an everyman--a wanderer to become a pilgrim, building a promised land on a desolate island.
- Robinson becomes closer to God, not through listening to sermons in a church but through spending time alone amongst nature with only a Bible to read.
- Defoe's central concern is the Christian notion of Providence.

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The Development of the Modern Novel

The Anti-Novel Campaign

- In the 1850s it was still common to find people who forbid their families from reading novels
- To tell stories, especially fiction, was still considered by some to be a sin. This only made people more curious and desiring to read narratives and stories.
- By the 1880s, the prohibition was softened. As Anthony Trollope records in his Autobiography (1883): "Novels are read right and left, above stairs and below, in town houses and in country parsonages, by young countesses and by farmers' daughters, by old lawyers and by young students."

Why did the novel become such a dominant literary form in the Victorian period?

The audience for the novel grew enormously during the nineteenth century. In part, this was due to economic factors:

 $\sqrt{}$ The growth of cities, which provided bigger markets

 $\sqrt{}$ The development of overseas readership in the colonies

 $\sqrt{}$ Cheaper production costs both for paper and for print processes

 $\sqrt{}$ Better distribution networks

 $\sqrt{1}$ The advertising and promotion work

Add to that, the spread of literacy, the increase in wealth, the development of a middle class with leisure time, etc...

"A novel is a splendid thing after a hard day's work"

"A novel is a splendid thing after a hard day's work, a sharp practical tussle with the real world"

This is how one of the characters in Mary Braddon's The Doctor's Wife (1864) described the novel. Reading fiction is a way of relaxing or winding down after a day of hard work for both men (working outside) and for women (doing housework).

Novel Writers

- Novel writers were told in the Saturday Review 1887 that the average reader of novels is not a critical person, that he/she cares little for art for art's sake, and has no fixed ideas about the duties and responsibilities of an author: "all he asks is that he may be amused and interested without taxing his own brains."
- Eventually, a distinction developed between novels that were intellectually, psychologically and aesthetically demanding and ones that served primarily as a means of escapism and entertainment.
- In the final decades of the Victorian era, a firm division was established between the artist or serious novelist and the masses of readers.

Happy Endings

- Until the end of the 19th century, there were palpable demands on novel writers to make their novels have a happy ending.
- Dickens is known to have changed the ending of some of his novels to please the reader with a happy ending.
- George Eliot is know to have opposed the idea. She demanded that the readers should curb their desire for fiction to provide the exceptional and romantic (fairy tales) and learn rather of the importance of the ordinary, the everyday, the commonplace

Novels and Romance

The issue of happy endings was essentially a question about the place of romance in the novel. Romances have a history of providing escapism. John Ruskow writes:

"The best romance becomes dangerous, if, by its excitement, it renders the ordinary course of a life uninteresting, and increases the morbid thirst for useless acquaintance with scenes in which we shall never be called upon to act." (Sesame and Lilies, 1865)

<u>Sources</u>

For more information, see

Kate Flint, "The Victorian Novel and Its Readers," in The Cambridge Companion to the Victorian Novel, Deirdre David ed., (Cambridge University Press, 2001): pp. 17-35.

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Realism and the Novel

The Development of realism

- The foundations of early bourgeois realism were laid by Daniel Defoe and Jonathan Swift, but their novels, though of a new type and with a new hero, were based on imaginary voyages and adventures supposed to take place far from England.
- Gradually the readers' tastes changed. They wanted to find more and more of their own life reflected in literature, their everyday life of a bourgeois family with its joys and sorrows.
- These demands were satisfied when the great novels of Samuel Richardson, Henry Fielding, Tobias Smollet appeared one after another.

Sympathy for the Common Man

- The greatest merit of these novelists lies in their deep sympathy for the common man, the man in the street, who had become the central figure of the new bourgeois world.
- The common man is shown in his actual surroundings, which makes him so convincing, believable, and true to life.

Realism in the Victorian Novel

- Realist writers sought to narrate their novels from an objective, unbiased perspective that simply and clearly represented the factual elements of the story.
- They became masters at psychological characterization, detailed descriptions of everyday life in realistic settings, and dialogue that captures the idioms of natural human speech.
- The realists endeavored to accurately represent contemporary culture and people from all walks of life.
- Thus, realist writers often addressed themes of socioeconomic conflict by contrasting the living conditions of the poor with those of the upper classes in urban as well as rural societies.
- Realist writers are widely celebrated for their mastery of objective, third-person narration.
- Many realist novels are considered to be reliable sociocultural documents of nineteenth-century society.
- Critics consistently praise the realists for their success in accurately representing all aspects of society, culture, and politics contemporary to their own.
- Realism has exerted a profound and widespread impact on many aspects of twentieth-century thought, including religion, philosophy, and psychology.

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Characteristics of the Realist Novel

- The linear flow of narrative
- The unity and coherence of plot and character and the cause and effect development
- The moral and philosophical meaning of literary action
- The advocacy of bourgeois rationality
- Rational, public, objective discourse
- The Realist novel of the nineteenth century was written in opposition to the Romance of medieval times
- Representation of "real life" experiences and characters versus ideal love, ideal moral codes ideal characters (nobility), and fixed social values

Sources and Further Reading

Raymond Williams, 'Realism', in Keywords (1976)

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Modernism and the Novel

Modernism: Background

- By the end of the 19th century, artists and novelists were already becoming unsatisfied with realism.
- Rejection of Realism and Naturalism became common
- a wide range of experimental and avant-garde trends (all the –isms: dadaism, surrealism, expressionism, futurism, etc.)
- A reaction to the modern, urban experience
- A rejection of bourgeois values

Discontinuity and Fragmentation

- Realism stressed the role of art as a mirror of social reality, the values of bourgeois society, and notions of progress.
- Modernism questioned art's capability to reflect reality, questioned the coherence of that reality, the bourgeois values of society and the notions of progress and happiness.
- Life and reality are not coherent or simple and it is an illusion to think that the novel or art in general can simply depict them like a mirror.
- Bourgeois values and morality are fake and superficial

What modernism stressed instead was :

- Discontinuity and fragmentation
- Juxtaposition and multiple points of view
- Lack of a unitary self
- "Self" is seen as artificial, a social fiction
- The individual is stripped of the traditional defining categories of personhood

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Modernist fiction

Stressed:

- Crisis rather than coherent reality
- Attempts to represent multiple truths as reflected in consciousness and the psyche
- Rejection of external, unitary, coherent appearance of realist conventions
- Stresses a lack of causality (chaos)
- Insufficiency of language (incapability to represent)
- Oppositional relations between the individual and the social, (the alienation of the individual in his/her social environment)
- Antibourgeois (because bourgeois values and lifestyle are fake and superficial)
- Uses first person narrator, and he/she is often unreliable, reflecting the difficulty to represent reality
- Reflects a sense of urban dislocation and alienation
- Works by male writers tend to be misogynistic

Compare: Édouard Manet, "Breakfast in the Studio"

(Realist Art)



With: Picasso "Weeping Woman" (Modernist Art)



- Discontinuity and fragmentation
- Juxtaposition and multiple points of view
- Lack of a unitary self
- "Self" is seen as artificial, a social fiction of undetermined status
- Individual is stripped of the traditional defining categories of personhood

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Or Picasso's famous Guernica 1937



Or any of Salvador Dali's work



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Heart of Darkness: Background

Joseph Conrad: 1857-1924

- born Józef Teodor Conrad Korzeniowski in Russian occupied Ukraine
- 1874 joined French merchant marines, later the British
- 1886 became British citizen
- 1890 traveled to Congo

Major works:

- The Nigger of the Narcissus (1897)
- Lord Jim (1900)
- Nostromo (1904)
- The Secret Agent (1907)
- The Secret Sharer (1909)

Heart of Darkness (1899, 1902)

- According to Conrad, it is based on real events-
- Conrad is "Marlow" in the novella.
- Conrad, born in Russia of Polish parents, did not learn to speak English until he was in his late 20s, yet he wrote Heart of Darkness in English and is considered to be a British novelist and one of the best prose writers in the English language.

Heart of Darkness: background

- Heart of Darkness is set in the Congo at the time of the Belgian colonization
- Africa was the last continent to be overtaken by Europeans.
- *
- There was a big push to colonize by Britain, France, Germany, Netherlands, and Belgium.
- There was no regard for the native inhabitants. Millions of Africans were killed and maimed or worked to death.

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KING LEOPOLD II of Belgium

- Leopold II became king of Belgium in 1865
- He was greedy, inept, and unscrupulous.
- Leopold had an inferiority complex due to his small stature and because Belgium was small and weak.
- He wanted to acquire colonies and compete politically with the big powers like France and England

The Colonization of the Congo

- In 1876 [Leopold] organized a private holding company disguised as an international scientific and philanthropic association.
- In 1879, under the auspices of the holding company, he hired the famous explorer Henry Morton Stanley to establish a colony in the Congo region"

(http://www.reference.com/browse/wiki/ Leopold_II_of_Belgium)

- Leopold II financed exploration of the Congo area, laid claim to it, and made it his private colony.
- It belonged to the man, not to the country of Belgium. It was therefore called a "crown colony."
- Profits from the area went into his own pocket, not into the treasury of Belgium.



The Congo Free State

- Belgian Congo area was larger than Britain, France, Spain, Italy and Germany combined.
- Leopold called it the "Congo Free State."
- Later it became known as Zaire and is now called Democratic Republic of Congo
- Congo Free State is called by Adam Hochschild, author of the book King Leopold's Ghost, "the world's only colony claimed by one man"
- The Belgian Congo under Leopold's rule became an example of how terrible colonial rule can be.
- The native people were treated as commodities, and atrocities were committed against them
- Leopold hired the famous explorer Henry Morton Stanley to get control of the Congo.
- Stanley cut a deal with the chiefs of the native tribes and got economic control.
- Leopold realized that huge profits rely on forced labor, so it became illegal to pay an African for his work
- Leopold ordered Stanley to purchase as much land as possible and to acquire power among the chiefs from the mouth of the Congo River as far into the interior as possible.

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- Stanley gained control by offering the chiefs bribes.
- An 1884 treaty signed by Stanley and the chiefs gave the chiefs a piece of cloth in exchange for all of their waterways, roads, game, fishing, mining rights, and freedom-- FOREVER.
- Once the land was acquired, Leopold imposed taxes on the roads, waterways, etc. Natives were allowed to use them, but they had to pay a tax to do so.

Rubber and Ivory

- Leopold declared a monopoly on rubber and ivory.
- His agents in the Congo were given the freedom to use as much force as necessary to get the rubber and ivory.
- Their profits were based on the total amount extracted, so it was to their advantage to work the natives mercilessly.
- Leopold set up a mercenary force called the Force Publique 19,000 members, most of them Africans. They controlled Congo Free State for Leopold for 23 years between 1885 and 1908, and helped him extract rubber and ivory from the area

Forced Labor

- The Force Publique took over native villages in areas where there was rubber or ivory to be harvested.
- They made the natives work for them but did not pay them--it was illegal to pay an African for his work. This is called "forced labor."
- The only difference between forced labor and slavery is that the workers in forced labor situations are not actually owned by other people.
- Forced labor is even worse than slavery because in slavery, the "owner" is anxious to protect his "property" and has to feed them and clothe them. In Forced labor, the worker work for free and are responsible for their own food etc.

Leon Rom and Kurtz

- The head of the Force Publique was Leon Rom, a ruthless and cruel man who decorated his yard with a fence featuring human skulls on posts and a garden with a rockery of human skulls of dead natives
- Rom kept a gallows permanently erected in his station to intimidate the workers
- The character Kurtz in the novella is based on Leon Rom.



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Leon Rom and Kurtz

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- The Force Publique went into villages and captured the natives for forced labor.
- The women and children were separated from the men and held hostage until the men brought in their quota of rubber or ivory.
- If a worker didn't meet his quota, sometimes the Force Publique would motivate him by cutting off the hand or foot of one of his children



- The Men wore a numbered metal disk on a string around their necks. An accountant kept track of how much ivory or rubber each worker brought in.
- The Force Publique sold the women back to the natives when they were finished in the area.
- Leopold claimed that his goal was ultimately humanitarian-



- In 1908, Leopold, realizing that his reign in the Congo had come to an end, had all the archives of the Belgian government burned to destroy the evidence of the atrocities. The fire burned for eight days and nights
- Leopold II was responsible for the deaths of between ten and eleven million people in the Belgian Congo.

Heart of Darkness

- Conrad, author of Heart of Darkness, said that Heart of Darkness is a documentary--the things described in it really happened.
- Conrad actually did go to the Congo and was the captain of a steamboat on the Congo River. Heart of Darkness is a record of his experience.
- Marlow in the novella = Joseph Conrad
- Kurtz in the novella = Leon Rom, head of the Force Publique

Further Reading

To find out more, Read

King Leopold's Ghost, by Adam Hochschild

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Heart of Darkness One

Heart of Darkness: Part I

- The novel begins on a yacht called the Nellie at the mouth of the river Thames in London. The yacht is waiting for the tide to go out.
- Five men relax on the deck of the ship: the Director of Companies, who is also the captain and host, the Lawyer, the Accountant, Marlow, and the unnamed Narrator.
- The five men, old friends held together by "the bond of the sea," are restless yet meditative, as if waiting for something to happen. As darkness begins to fall, and the scene becomes "less brilliant but more profound," the men recall the great men and ships that have set forth from the Thames on voyages of trade and exploration, frequently never to return.
- Suddenly Marlow remarks that this very spot (London, the Thames) was once "one of the dark places of the earth."
- He notes that when the Romans first came to England, it was a great, savage wilderness to them. He imagines what it must have been like for a young Roman captain or soldier to come to a place so far from home and lacking in comforts.
- This reminds Marlow of his experience as a "fresh-water sailor," when as a young man he captained a steamship going up the Congo River.
- Marlow recounts how he obtained a job with the Belgian "Company" that trades on the Congo River (the Congo was then a Belgian colony) through the influence of his aunt who had friends in the Company's administration.
- The Company was eager to send Marlow to Africa, because one of the Company's steamer captains had recently been killed in a fight with the natives.
- After he hears that he has gotten the job, Marlow travels across the English Channel to a city that reminds him of a "whited sepulchre" (probably Brussels) to sign his employment contract at the Company's office.
- At the Company's offices, Marlow finds two sinister women there knitting black wool, one of whom admits him to a waiting room, where he looks at a map of Africa color-coded by colonial powers.
- Marlow signs his contract, and goes to be checked by a doctor. The doctor takes measurements of his skull, remarking that he unfortunately doesn't get to see those men who make it back from Africa.
- The doctor tells Marlow, "the changes take place inside." The doctor is interested in learning anything that may give Belgians an advantage in colonial situations.
- Marlow then stops to say goodbye to his aunt, who expresses the hope that he will aid in the civilization of savages during his service to the Company, "weaning those ignorant millions from their horrid ways."
- Marlow is aware, though, that the Company operates for profit and not for the good of humanity, and he is bothered by his aunt's naïveté.
- Before boarding the French steamer that is to take him to Africa, Marlow has a brief but strange feeling about his journey: the feeling that he is setting off for the center of the earth.

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- The French steamer takes Marlow along the coast of Africa, stopping periodically to land soldiers and customs house officers. Marlow finds his idleness vexing, and the trip seems vaguely nightmarish to him. At one point, they come across a French man-of-war (a ship) shelling an apparently uninhabited forest along the coast.
- They finally arrive at the mouth of the Congo River, where Marlow boards another steamship bound for a point thirty miles upriver. The captain of the ship, a young Swede, recognizes Marlow as a seaman and invites him on the bridge. The Swede criticizes the colonial officials and tells Marlow about another Swede who recently hanged himself on his way into the interior.
- Marlow disembarks at the Company's station, which is in a terrible state of disrepair. He sees piles of decaying machinery and a cliff being bombed for no apparent purpose. He also sees a group of black prisoners walking along in chains under the guard of another black man, who wears a shoddy uniform and carries a rifle.
- Marlow remarks that he had already known the "devils" of violence, greed, and desire, but that in Africa he became acquainted with the "flabby, pretending, weak-eyed devil of a rapacious and pitiless folly." Finally, Marlow comes to a grove of trees and, to his horror, finds a group of dying native laborers.
- He offers a biscuit to one of them; seeing a bit of white European yarn tied around his neck, he wonders at its meaning. He meets a neatly dressed white man, the Company's chief accountant (not to be confused with Marlow's friend the Accountant from the opening of the book).
- Marlow spends ten days here waiting for a caravan to the next station. One day, the chief accountant tells him that in the interior he will undoubtedly meet Mr. Kurtz, a first-class agent who sends in as much ivory as all the others put together and is destined for advancement. He tells Marlow to let Kurtz know that everything is satisfactory at the Outer Station when he meets him.
- Marlow travels overland for two hundred miles with a caravan of sixty men. He has one white companion who falls ill and must be carried by the native bearers, who start to desert because of the added burden.
- After fifteen days they arrive at the dilapidated Central Station. Marlow finds that the steamer he was to command has sunk. The general manager of the Central Station had taken the boat out two days before under the charge of a volunteer skipper, and they had torn the bottom out on some rocks. In light of what he later learns, Marlow suspects the damage to the steamer may have been intentional, to keep him from reaching Kurtz.
- Marlow soon meets with the general manager, who strikes him as an altogether average man who leads by inspiring an odd uneasiness in those around him and whose authority derives merely from his resistance to tropical disease.
- The manager tells Marlow that he took the boat out in a hurry to relieve the inner stations, especially the one belonging to Kurtz, who is rumored to be ill. He praises Kurtz as an exceptional agent and takes note that Kurtz is talked about on the coast.
- It takes Marlow three months to repair his ship. One day during this time, a grass shed housing some trade goods burns down, and the native laborers dance delightedly as it burns.
- One of the natives is accused of causing the fire and is beaten severely; he disappears into the forest after he recovers.
- Marlow overhears the manager talking with the brickmaker about Kurtz at the site of the burned hut. He enters into conversation with the brickmaker after the manager leaves, and ends up accompanying the man back to his quarters, which are noticeably more luxurious than those of the other agents.

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- Marlow realizes after a while that the brickmaker is pumping him for information about the intentions of the Company's board of directors in Europe, about which, of course, Marlow knows nothing.
- Marlow notices an unusual painting on the wall, of a blindfolded woman with a lighted torch; when he asks about it, the brickmaker reveals that it is Kurtz's work.
- The brickmaker tells Marlow that Kurtz is a prodigy, sent as a special emissary of Western ideals by the Company's directors and bound for quick advancement.
- He also reveals that he has seen confidential correspondence dealing with Marlow's appointment, from which he has construed that Marlow is also a favorite of the administration. They go outside, and the brickmaker tries to get himself into Marlow's good graces—and Kurtz's by proxy, since he believes Marlow is allied with Kurtz.
- Marlow realizes the brickmaker had planned on being assistant manager, and Kurtz's arrival has upset his chances. Seeing an opportunity to use the brickmaker's influence to his own ends, Marlow lets the man believe he really does have influence in Europe and tells him that he wants a quantity of rivets from the coast to repair his ship. The brickmaker leaves him with a veiled threat on his life, but Marlow enjoys his obvious distress and confusion.
- The Eldorado Exploring Expedition, a group of white men intent on "tear[ing] treasure out of the bowels of the land," arrives, led by the manager's uncle, who spends his entire time at the station talking conspiratorially with his nephew.
- Marlow gives up on ever receiving the rivets he needs to repair his ship, and turns to wondering disinterestedly about Kurtz and his ideals.

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Heart of Darkness Two

<u>Heart of Darkness: Part II</u>

- While Marlow is waiting for his ship to be repaired, he overhears one night the manager and his uncle complaining about Kurtz and discussing how to get rid of him. He hears them say that Kurtz was very ill and they hope he would just die.
- Marlow then leaves on a two-month trip up the river to Inner Station where Kurtz is. He takes along the manager and several "pilgrims." The river is treacherous and the trip is difficult; the ship proceeds only with the help of a crew of natives the Europeans call cannibals, who actually prove to be quite reasonable people.
- Fifty miles away from Kurtz's Inner Station, Marlow and his companions find a hut with a stack of firewood and a note that says, "Wood for you. Hurry up. Approach cautiously." They can't read the signature, but it is clearly not Kurtz's.
- Inside the hut, Marlow finds a battered old book on seamanship with notes in the margin in what looks like code. The manager concludes that the wood must have been left by the Russian trader, a man about whom Marlow has overheard the manager complaining. After taking aboard the firewood that serves as the ship's fuel, the party continues up the river, the steamer struggling and about to give up working completely.
- Marlow wonders about Kurtz constantly as they crawl along toward him..
- They stop again 8 miles away from Kurtz' station because of the fog. They hear a loud, desolate cry, followed by savage voices, and then silence again. They prepare for attack. The whites are badly shaken, but the African crewmen respond with quiet alertness.
- The leader of the cannibals tells Marlow that his people want to eat the people making the loud cries. Marlow realizes that the cannibals must be very hungry. their only food, a supply of rotting hippo meat, was long since thrown overboard by the pilgrims.
- Marlow does not think the natives will attack because their cries sounded more sorrowful than warlike. But they do attack about a mile and a half from the station. Suddenly the air was filled with arrows. The helmsman is killed with a spear.
- Marlow frightens the attackers away by sounding the steam whistle repeatedly, and they give up the attack and make a prolonged cry of fear and despair. Marlow thinks that Kurtz is now dead as well, and he feels a terrible disappointment at the thought.
- The narrative comes back to the Nellie on the Thames. Marlow notes that Kurtz had a fiancée, his Intended (as Kurtz called her), waiting for him in Europe. What Marlow find significant about her is the air of possession and ownership Kurtz assumed when speaking about her: indeed, Kurtz spoke of everything - ivory, the Inner Station, the river - as being his. It is this sense of dark mastery that disturbs Marlow most.
- Marlow also mentions a report Kurtz has written at the request of the International Society for the Suppression of Savage Customs. The report is eloquent and powerful, if lacking in practical suggestions. It concludes, however, with a handwritten postscript: "Exterminate all the brutes!"

- Marlow suggests that this is the result of Kurtz's absorption into native life—that by the time he came to write this note he had assumed a position of power with respect to the natives and had been a participant in "unspeakable rites," where sacrifices had been made in his name. At this point, Marlow also reveals that he feels he is responsible for the "care of [Kurtz's] memory," and that he has no choice but to remember and continue to talk about the man.
- Marlow returns back to the story. The Inner Station comes into view now. It looks decayed but is still standing.
- A white man, the Russian trader, beckons to them from the shore. He wears a patchwork suit and babbles incessantly. He tells Marlow that the natives mean no harm, and that the ship's whistle is the best means to scare them off. He tells Marlow he has been a merchant seaman and was working for a Dutch company.
- The Russian tells Marlow that the steamer was attacked because the natives do not want Kurtz to leave. The Russian also offers yet another enigmatic picture of Kurtz. One does not talk to Kurtz but listens to him. He credits Kurtz for having "enlarged his mind."

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Heart of Darkness Three

Heart of Darkness: Part III

- The Russian trader begs Marlow to take Kurtz away quickly. He tells Marlow that Kurtz is a great man, that he nursed Kurtz through two illnesses but sometimes would not see him for long periods of time, during which Kurtz was out raiding the countryside for ivory with a native tribe he had gotten to follow him.
- Although Kurtz has behaved erratically and once even threatened to shoot the trader over a small stash of ivory, the trader nevertheless insists that Kurtz cannot be judged as one would judge a normal man. He has tried to get Kurtz to return to civilization several times. The Russian tells Marlow that Kurtz is extremely ill now.
- As he listens to the trader, Marlow looks through his binoculars and sees that what he had originally taken for ornamental balls on the tops of fence posts in the station compound are actually severed heads turned to face the station house. He is repelled but not particularly surprised. The Russian apologetically explains that these are the heads of rebels, an explanation that makes Marlow laugh out loud. The Russian makes a point of telling Marlow that he has had no medicine or supplies with which to treat Kurtz; he also asserts that Kurtz has been shamefully abandoned by the Company.
- At that moment, the pilgrims emerge from the station-house with Kurtz on an improvised stretcher, and a group of natives rushes out of the forest with a piercing cry. Kurtz speaks to the natives, and the natives withdraw and allow the party to pass. The manager and the pilgrims lay Kurtz in one of the ship's cabins and give him his mail, which they have brought from the Central Station. Someone has written to Kurtz about Marlow, and Kurtz tells him that he is "glad" to see him. The manager enters the cabin to speak with Kurtz, and Marlow withdraws to the steamer's deck. From here he sees two natives standing near the river with impressive headdresses and spears, and a beautiful native woman draped in ornaments pacing gracefully along the shore. She stops and stares out at the steamer for a while and then moves away into the forest.
- Marlow hears Kurtz yelling at the manager inside the cabin. Kurtz accuses the men of coming for the ivory rather than to help him, and he threatens the manager for interfering with his plans.
- The manager comes out and tells Marlow that they have done everything possible for Kurtz, but his unsound methods have closed the district off to the Company for the time being. He says he plans on reporting Kurtz's "complete want of judgment" to the Company's directors.
- Marlow is thoroughly disgusted by the manager's hypocritical condemnation of Kurtz, and he tells the manager that he thinks Kurtz is a "remarkable man." With this statement, Marlow permanently alienates himself from the manager and the rest of the Company functionaries. Like Kurtz, Marlow is now classified among the rebels like Kurtz who use "unsound methods."
- The Russian asks Marlow to protect Kurtz's reputation, Marlow tells the Russian that the manager has spoken of having him (the Russian) hanged. The trader is not surprised. He asks Marlow for tobacco, gun cartridges, and shoes, leaves in a canoe with some natives.
- Marlow gets up in the middle of the night and finds that Kurtz is gone. He is worried, but he does not raise an alarm, and instead decides to leave the ship to search for Kurtz himself. He finds him crawling on all four and convinces him to come back telling him that people in Europe think of him as a success.
- The steamer departs the next day at noon, and the natives appear on the shore to watch it go. Kurtz watches through the window as the natives make cries and sad chants. Marlow sounds the whistle as he sees the pilgrims get out their rifles, and the crowd scatters. Only the woman remains standing on the shore.

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- The steamer sails back on river upward toward civilization. The manager, seeing that Kurtz so sick and might die, is pleased to have things under his control; he ignores Marlow, who now is seen to belong to the "unsound" but harmless party.
- Marlow, for the most part, is left alone with Kurtz. Kurtz talks on a variety of subjects. Marlow is alternately impressed and disappointed. Kurtz's philosophical musings are interspersed with grandiose and childish plans for fame and fortune.
- The steamer breaks down, and repairs take some time. Marlow also becomes ill, and the work is hard on him. Kurtz seems troubled, probably because the delay has made him realize that he probably will not make it back to Europe alive. Worried that the manager will gain control of his "legacy," Kurtz gives Marlow a bundle of papers for safekeeping.
- Kurtz's ramblings become more abstract and more rhetorical as his condition worsens. Marlow believes he is reciting portions of articles he has written for the newspapers: Kurtz thinks it his "duty" to disseminate his ideas. Finally, one night, Kurtz admits to Marlow that he is "waiting for death."
- As Marlow approaches, Kurtz seems to be receiving some profound knowledge or vision, and the look on his face forces Marlow to stop and stare. Kurtz cries out—"The horror! The horror!"—and Marlow flees, not wanting to watch the man die. He joins the manager in the dining hall, which is suddenly overrun by flies. A moment later, a servant comes in to tell them, "Mistah Kurtz—he dead."
- The pilgrims bury Kurtz the next day. Marlow becomes ill and nearly dies himself. He suffers greatly, but the worst thing about his near-death experience is his realization that in the end he would have "nothing to say." Kurtz, he realizes, was remarkable because he "had something to say. He said it." Once he has recovered sufficiently, he leaves Africa and returns to Brussels.
- In Brussels, Marlow resents people for their petty self-importance and smug complacency. His aunt nurses him back to health, but his disorder is more emotional than physical. A representative of the Company comes to retrieve the packet of papers Kurtz entrusted to Marlow, but Marlow will give him only the pamphlet on the "Suppression of Savage Customs," with the postscript (the handwritten "Exterminate all the brutes!") torn off. The man threatens legal action to obtain the rest of the packet's contents.
- Another man, calling himself Kurtz's cousin, appears and takes some letters to the family. The cousin tells him that Kurtz had been a great musician. Marlow and the cousin ponder Kurtz's myriad talents and decide that he is best described as a "universal genius."
- A journalist colleague of Kurtz's appears and takes the pamphlet for publication. This man believes Kurtz's true skills were in popular or extremist politics.
- Finally, Marlow is left with only a few letters and a picture of Kurtz's Intended. Marlow goes to see her without really knowing why. Kurtz's memory comes flooding back to him as he stands on her doorstep. He finds the Intended still in mourning, though it has been over a year since Kurtz's death. He gives her the packet, and she asks if he knew Kurtz well. He replies that he knew him as well as it is possible for one man to know another.
- His presence fulfills her need for a sympathetic ear, and she continually praises Kurtz. Her sentimentality begins to anger Marlow, but he holds back his annoyance until it gives way to pity.
- She says she will mourn Kurtz forever, and asks Marlow to repeat his last words to give her something upon which to sustain herself. Marlow lies and tells her that Kurtz's last word was her name. She responds that she was certain that this was the case.
- Marlow ends his story here, and the narrator looks off into the dark sky, which makes the waterway seem "to lead into the heart of an immense darkness."

Heart of Darkness: Themes

Colonialism and Imperialism

- Perhaps the central theme in Heart of Darkness is colonialism and imperialism.
- When Marlow sets off on his adventure in Brussels, the colonization of the Congo is presented as a humanitarian project whose purpose is to help the Africans live in the modern world. This assertion is presented on the Nellie at the opening of the novel and by Marlow's aunt and seems to be the culture of the company in Brussels.
- From Belgium to the Congo, and from the Outer Station to the Central Station and finally up the river to the Inner Station, Marlow encounters scenes of torture, cruelty, forced labor, large-scale exploitation and mass-murder.
- The novel exposes the hypocrisy of colonialism and imperialism. The men who work for the Company describe what they do as "trade," and their treatment of native Africans is part of a benevolent project of "civilization."
- The word 'ivory' rang in the air, was whispered, was sighed. You would think they were praying to it. A taint of imbecile rapacity blew through it all, like a whiff from some corpse. By Jove! I've never seen anything so unreal in my life."

Heart of Darkness (Part 1, Section 4)

Kurtz Vs. The Company

- But the novel also sets against the hypocrisy of the company and the Europeans in general, the model of Kurtz, and Marlow (and Joseph Conrad through him) prefer Kurtz's honesty to the Company's and the Europeans' hypocrisy.
- Kurtz, at least, is open about the fact that he does not trade but rather takes ivory by force, and he describes his own treatment of the natives with the words "suppression" and "extermination": he does not hide the fact that he rules through violence and intimidation.
- Unlike the other Europeans, Kurtz does not claim to be in Africa to "help" the Africans. He is frank about the fact that he is there to steal and plunder ivory.
- Kurtz' perverse honesty leads to the success of the Company because he brings them a lot of ivory, but his honesty also brings his downfall, because his honesty exposes the evil practices behind European activity in Africa.

The Africans

- However, for Marlow as much as for Kurtz or for the Company, Africans in the novel are mostly objects: Marlow refers to his helmsman as a piece of machinery, and Kurtz's African mistress is at best a piece of statuary.
- African are also often described in zoological terms (ants, animals, insects, etc) and it can be argued that Heart of Darkness participates in the dehumanization of the Africans. Notice that no African is allowed to speak in the novel, and they are often portrayed as sub-humans and primitives. They just make primitive sounds, but they never talk.
- "It was unearthly, and the men were—No, they were not inhuman. Well, you know, that was the worst of it—the suspicion of their not being inhuman. It would come slowly to one. They howled and leaped, and spun, and made horrid faces; but what thrilled you was just the thought of their humanity—like yours—the thought of your remote kinship with this wild and passionate uproar. Ugly. Yes, it was ugly enough; but if you were man enough you would admit to yourself that there was in you just the faintest trace of a response to the terrible frankness of that noise, a dim suspicion of there being a meaning in it which you—you so remote from the night of first ages—could comprehend. And why not?"

Heart of Darkness

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Heart of Darkness: Characters and Symbols

Characters: Marlow

Marlow appears in several of Conrad's other works. He is a complicated character who anticipates the figures of high modernism while also reflecting his Victorian predecessors. Marlow is in many ways a traditional hero: tough, honest, an independent thinker, a capable man. Yet he is also "broken" or "damaged," like the characters of modernist fiction. The world has defeated him in some fundamental way, and he is weary, skeptical, and cynical. Marlow also shifts between the role of the intellectual and that of the "working tough."

In the end, he sides with Kurtz against the Company, but he does not side with the Africans... And when he meets Kurtz' fiancée, he prefers not to tell her the truth about Kurtz and the situation in Africa.

Characters: Kurtz

- Kurtz resembles the archetypal "evil genius": the highly gifted but ultimately degenerate individual whose fall becomes legendary. Kurtz is related to figures like Faustus, Satan in Milton's Paradise Lost, Moby-Dick's Ahab, and Wuthering Heights's Heathcliff. Like these characters, he is significant both for his style and eloquence and for his grandiose, almost megalomaniacal scheming.
- Kurtz can be criticized in the same terms that Heart of Darkness is sometimes criticized: style entirely overrules substance, providing a justification for amorality and evil.
- Kurtz is utterly lacking in substance. Marlow refers to Kurtz as "hollow" more than once. It shows Kurtz's ability to function as a "choice of nightmares" for Marlow
- Kurtz provides Marlow with a set of paradoxes that Marlow can use to evaluate himself and the Company's men.
- Kurtz is not so much a fully realized individual as a series of images constructed by others for their own use. As Marlow's visits with Kurtz's cousin, the Belgian journalist, and Kurtz's fiancée demonstrate, there seems to be no true Kurtz. To his cousin, he was a great musician; to the journalist, a brilliant politician and leader of men; to his fiancée, a great humanitarian and genius.

Symbols: Darkness

- Darkness: The word is part of the book's title. But it is difficult to say exactly what it means, because it is used extensively. Almost everything in the book is cloaked in darkness. Africa, England, and Brussels are all described as gloomy and somehow dark, at one point or another.
- Darkness is most often used metaphorically. Darkness is the inability to see: this may sound simple, but think about it as a description of the human condition, and it will prove to have profound implications. Failing to see mass-murders, failing to see that exploitation and destruction of Africa is not humanitarian work, and failing to see that Africans are human beings are very grave and consequential facts.

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Symbols: Fog

Fog is similar to darkness. Fog not only obscures but distorts: it gives one just enough information to begin making decisions but no way to judge the accuracy of that information, which often ends up being wrong. Marlow's steamer is caught in the fog, meaning that he has no idea where he's going and no idea whether peril or open water lies ahead.

Symbols: The White Sepulchre

- The "whited sepulchre" is probably Brussels, where the Company's headquarters are located. A sepulchre implies death and confinement, and indeed Europe is the origin of the colonial enterprises that bring death to white men and to their colonial subjects.
- It is also governed by ideologies that both enable cruelty, dehumanization, and evil and prohibit change. The phrase "whited sepulchre" comes from the biblical Book of Matthew. In the passage, Matthew describes "whited sepulchres" as something beautiful on the outside but containing horrors within (the bodies of the dead); thus, the image is appropriate for Brussels, given the hypocritical Belgian rhetoric about imperialism's civilizing mission.

Symbols: The River

The Congo River is the key to Africa for Europeans. It allows them access to the center of the continent without having to physically cross it; in other words, it allows the white man to remain always separate or outside. The river also seems to want to expel Europeans from Africa altogether: its current makes travel upriver slow and difficult, but the flow of water makes travel downriver, back toward "civilization," rapid and seemingly inevitable. Marlow's struggles with the river as he travels upstream toward Kurtz reflect his struggles to understand the situation in which he has found himself. The ease with which he journeys back downstream, on the other hand, mirrors his acquiescence to Kurtz and his "choice of nightmares."



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